

Obesity and Metabolic Abnormalities in Chronic Obstructive Pulmonary Disease

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Abstract

Chronic obstructive pulmonary disease (COPD) and obesity are major causes of morbidity and mortality worldwide, and a relationship between COPD and obesity is increasingly recognized. The nature and underlying mechanisms of the link between obesity and COPD are still largely unknown. Obesity, and abdominal adiposity in particular, is linked to metabolic syndrome. Hypertension, hyperglycemia, and abdominal adiposity seem to be the most prevalent components of metabolic syndrome in COPD. Adipose tissues function as a source of a variety of signaling

molecules in the pathobiology of respiratory diseases.

Computed tomography allows measurement of various fat depots and allows better understanding of the impact of abdominal visceral fat in the pathophysiology of COPD. Innovative statistical methodology has identified obesity as a relevant and distinguishing characteristic in patients with COPD. Integrated research combining COPD and its multimorbidity network may unravel underlying endotypes to direct future interventions in this specific COPD cluster.

Keywords: metabolic syndrome; obesity; COPD

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Chronic obstructive pulmonary disease (COPD) and obesity are major causes of morbidity and mortality worldwide, and, according to current estimates, the global burden of these conditions will further increase (1). Classification of overweight and obesity are largely based on body mass index (BMI) as defined by the World Health Organization. Obesity is defined as BMI greater than or equal to 30 kg/m² (2). BMI is considered a reasonably good measure of general adiposity, and increased BMI is an established risk factor for several causes of death, including ischemic heart disease, stroke, and a wide variety of cancers. Analysis of data from several prospective studies demonstrated that each 5 kg/m² increase in BMI above the normal BMI range (22.5–25 kg/m²) was associated with 30% higher all-cause mortality (3).

Although the association between asthma and obesity is profoundly studied, little is known about potential underlying mechanisms between obesity and COPD (4).

Most studies have focused on analysis of physiological consequences of obesity. Indeed, physiologically, increasing weight gain is associated with lung volume effects in both health and disease, and this should be considered when interpreting common pulmonary function tests. As a consequence, obese patients with COPD operate at lower lung volumes, resulting in lower end-expiratory lung volume and lower respiratory mechanics loading by dynamic hyperinflation during exercise (5). This review focuses on the epidemiology of obesity and metabolic syndrome in COPD and describes our current understanding of the role of BMI and fat mass in disease mechanisms and phenotype clustering in COPD.

Prevalence of Obesity in COPD

Data on prevalence of obesity in patients with COPD are conflicting. In a cross-

sectional population-based study of patients with COPD recruited from an outpatient disease management program in the Netherlands, the overall prevalence of obesity was 18%, with the highest prevalence in patients with mild to moderate COPD: 29 and 48% of these patients had mild to moderate airflow limitation according to Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria (6, 7). On the basis of the Canadian national health survey data from 1994 to 2007, the prevalence of obesity in health professional–diagnosed self-reported COPD was significantly higher than in the population without COPD: in 2005, the prevalence of obesity was 24.6% in patients with COPD and 17.1% in control subjects without COPD ($P < 0.001$) (8). In the multicenter observational ECLIPSE (Evaluation of COPD Longitudinally to Identify Predictive Surrogate End-Points) study, obesity was reported in 20% of

patients with COPD: median FEV₁ was 43.5% predicted in this COPD population (9). Although data suggest that obesity is more prevalent in patients with COPD than in the general population, not all studies confirm this finding. In a population-based study conducted in five Latin American cities, there were higher proportions of subjects with COPD in the underweight and normal-weight categories when compared with spirometrically confirmed patients without COPD, and a lower proportion of patients with COPD in the obese category. The prevalence of obesity in those subjects with COPD was 23%, compared with 32% in subjects without COPD (10). BMI in relation to chronic airflow limitation was also studied as part of the BOLD (Burden of Obstructive Lung Disease) initiative, a worldwide population-based study. It was found that prevalence of low and obese BMI was highly variable between sites and countries. The meta-analysis of all sites showed that, compared with subjects without chronic airflow limitation, low BMI was more frequent and obesity was less frequent in subjects with chronic airflow limitation. Obese BMI was found in 17.6% of subjects with airflow limitation versus 24.3% in those subjects without airflow limitation (11). Chronic airflow limitation in this study was defined as a post-bronchodilator FEV₁/FVC ratio below the fifth percentile of the population distribution for the FEV₁/FVC ratio (12). Although several studies have examined the prevalence of obesity in patients with COPD, no published data are available on the prevalence of COPD in obesity.

More epidemiological data about the prevalence of obesity in COPD are needed to understand the variability in prevalence around the world and the related mechanisms.

Metabolic Syndrome and COPD

BMI is strongly correlated with measured waist circumference (13). Increased waist circumference as a marker of central obesity is a characteristic finding in most widely used definitions of metabolic syndrome (MetS). Indeed, MetS is currently defined by a grouping of clinical characteristics including abdominal obesity, hyperglycemia, hypertriglyceridemia, hypertension, and low high-density lipoprotein cholesterol levels (14). High

prevalence of MetS has been reported in several countries across the world, ranging from 20 to 60% (15, 16). We reported a prevalence of MetS in 38% of patients with COPD (17). A recent systematic review confirmed these findings, demonstrating that the overall mean prevalence of MetS is 34% among patients with COPD, with wide variation between geographical areas (18). In most studies, prevalence of MetS was higher than in control subjects. The most prevalent components of MetS in both patients with COPD and controls were hypertension, hyperglycemia, and abdominal obesity (16). The number of included patients, the definitions of COPD, and the characteristics of included control subjects differ widely among these studies. Despite this high prevalence of MetS in patients with COPD, the possible underlying nature remains unknown and deserves further investigation.

A recent review article reported a robust relationship between MetS and lung function impairment and particularly asthma risk (19). Baffi and colleagues formulated possible underlying mechanisms contributing to asthma risk (19). Insulin may exert a direct effect on human airways by influencing airway smooth muscle cells or airway epithelial cells. Insulin may inhibit muscarinic receptors or may induce a secondary effector inducing hypercontractility (19). Adipokines may be involved, as will be discussed in the next section, as well as fatty acid-induced inflammation. Indeed, in MetS, adipose tissue is not able to efficiently regulate fat storage, and excess triglycerides and free fatty acids remain in the circulation. These free fatty acids can activate innate immune responses via a plethora of inflammatory mechanisms, such as pattern recognition receptor activation, intracellular signaling pathways, and endoplasmic reticulum stress (19). Obese individuals often have enlarged adipocytes with a reduced buffering capacity for lipid storage, thereby exposing other tissues to an excessive influx of lipids. In addition, adipose tissue blood flow is decreased in obesity, affecting lipid handling and further contributing to excessive fat storage in nonadipose tissues (20). This adipose tissue dysfunction seems also to play a prominent role in the development and/or progression of insulin resistance. In addition, diabetes itself may negatively affect pulmonary structure and function. Diabetes is associated with an increased risk of

pulmonary infections, disease exacerbations, and adverse COPD outcomes and is a strong contributing factor for the development of obstructive lung disease (18).

Further studies will be needed to assess the involvement of this variety of underlying mechanisms in understanding the interplay between MetS and COPD.

Adipose Tissue and Adipokines

Adipose tissue is largely overlooked as an important responding tissue in the human body. Fat tissue is not only an important source of a variety of signaling molecules, adipokines, but also a source of stem cells that can participate in tissue repair. Leptin and adiponectin are just two of the multiple hormones produced by adipose tissue (21). Leptin levels are increased with obesity and are secreted in direct proportion to the adipose tissue mass (22). Leptin is the product of the obese gene, primarily expressed in adipose tissue but also found in many other organs, including the lungs. It exerts pleiotropic effects by binding and activating specific leptin receptors in the hypothalamus and other organs, has direct and indirect effects in metabolically active tissues, and regulates several neuroendocrine axes (23). Leptin exerts proinflammatory effects (24). Other identified adipokines that promote inflammation include resistin, retinol-binding protein-4, lipocalin-2, IL-18, angiopoietin-like protein-2, CC-chemokine ligand-5, tumor necrosis factor, IL-6, and nicotinamide phosphoribosyltransferase (25). The functional impact of leptin on the respiratory system is less clear. Leptin and leptin receptors are present in the airways on bronchial epithelial cells (26–28). Elevated leptin levels may modulate the immune reaction in the airways by inciting a robust proinflammatory response or bias the cellular response toward a type 1 helper phenotype (29, 30). Leptin may also increase bronchial hyperreactivity (31).

Leptin seems also to be involved in neutrophil dysfunction in obesity. Obesity attenuates the inflammatory response in an inhaled lipopolysaccharide model of acute lung injury, leading to reduction in pulmonary neutrophilia and injury (32). Obesity is also associated with defects in the neutrophilic chemoattractant response,

suggesting a disruption of neutrophilic diapedesis into the lungs (33). It has also been demonstrated that induction of pulmonary leptin acts as a driver of alveolar airspace neutrophilia, possibly by direct effects of leptin on neutrophil apoptosis (34). Future studies will be required to unravel these effects of leptin and hyperleptinemia on neutrophil inflammatory changes as observed in asthma and COPD.

In contrast, adiponectin exerts significant antiinflammatory effects (21, 25). It is synthesized almost exclusively by adipocytes of lean individuals, and its expression is significantly attenuated in obese subjects (35). Adiponectin exerts its function through its receptors, AdipoR1 and AdipoR2, the former being expressed ubiquitously, particularly in skeletal muscle, whereas the latter is most abundantly expressed in the liver (36). Adiponectin has also been shown to be expressed by airway epithelial cells (37). Adipose tissue hypoxia, adipose tissue inflammation, and increased macrophage infiltration in adipose tissue may further disturb adipokine secretion and may also be involved in induction of insulin resistance (19, 25).

To link different components together, a vascularly connected network was hypothesized, with the lungs as the main external sensor, the endothelium as the internal sensor, and two key responding elements, bone marrow and adipose tissue, both producing inflammatory and repair signals (Figure 1). In this model, the lungs act as a selective and protective interface between the external and internal environments, and bronchial and alveolar cells, as well as other tissue-resident cells, are capable of sensing a variety of environmental factors and orchestrate an inflammatory response both locally and at a distance. The endothelial lining acts as the internal interface and as a sensor between circulating blood and the body cell/tissue milieu. It is hypothesized that these two main sensors communicate with the responder elements—bone marrow and adipose tissue—through a number of biological signals that circulate within the vasculature (38). According to this model, the development of COPD and associated multimorbidities depends on the manner in which the vascularly connected network responds, adapts, or fails to adapt to the inhalation of particles and gases (38). Further research will be needed to explore

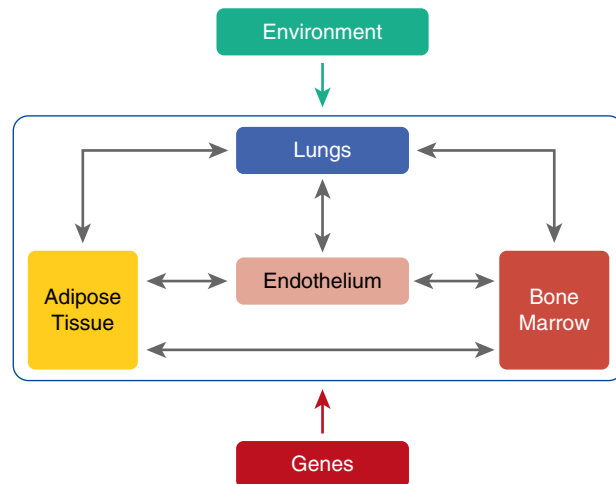


Figure 1. The lungs, bone marrow, and adipose tissue network. Chronic obstructive pulmonary disease is a condition characterized by gene–environment interactions, mediated by two sensors (lungs and endothelium), two responder organs (bone marrow and adipose tissue), and several linking biological signals. Adapted by permission from Reference 38.

the potential utility and caveats of this model and to provide better insight into the pathobiology of COPD and on the role of fat tissue and adipokines in particular.

Visceral Fat and COPD

Abdominal obesity is generally assessed by anthropometric measurements, such as waist circumference and waist-to-hip ratio, to estimate the amount of abdominal tissue. Computed tomography is a noninvasive, widely applied technique allowing measurements of various fat depots. It has been reported that the association between cardiovascular risk factors and visceral fat measured directly with computed tomography is stronger than the associations between these factors and anthropometric measurements (39, 40). This increased content of adipose tissue depots may include abdominal fat, abdominal visceral fat, and liver fat, and all these abdominal fat depots are associated with cardiovascular disease by potentially promoting a systemic proatherogenic and inflammatory state (41–44). It has been reported that patients with COPD have excessive visceral fat area (VFA) compared with an age-matched control group of smokers without airflow limitation (45). The prevalence of nonobese subjects with increased VFA was greater in the patients with more obstruction than in those with lesser airflow limitation according to GOLD

stages (45). Although the extent of emphysema was inversely correlated with subcutaneous fat area, VFA did not decrease with the severity of emphysema (45). Others reported that patients with COPD with self-reported myocardial infarction had higher abdominal visceral fat content than those with no prior history of myocardial infarction and that higher abdominal visceral fat content was independently associated with increased odds of a history of myocardial infarction (46). Another study reported increased visceral adipose tissue and more muscle fat accumulation, measured by muscle tissue attenuation, on computed tomography images of the thorax in patients with COPD with severe airflow limitation (mean FEV₁, 40.7% predicted) compared with smoking and nonsmoking control subjects identified from the ECLIPSE cohort (47). Muscle attenuation in particular decreased as a function of severity of airflow limitation (47).

This fat tissue compartmentalization is very important to correctly interpret the reported findings of adipose tissue inflammation in fat biopsies, as subcutaneous adipose tissue has been sampled in these studies. It has been demonstrated that adipose tissue inflammation in patients with COPD increased with increasing BMI and that this adipose tissue inflammation relates to insulin resistance (48). Others reported that increased visceral fat, assessed by computed

tomography, contributes to increased plasma IL-6 and is negatively associated with adiponectin (49). More studies are needed to assess the role of visceral adipose tissue on systemic proatherogenic and inflammatory states.

To assess the metabolic activity of fat compartments as well as inflammatory changes in vessels, positron emission tomography with fludeoxyglucose F 18 has been introduced. Using this technique, increased metabolic activity in the abdominal aorta and visceral fat, but not in subcutaneous fat, has been reported in patients with COPD (50). Further research of body fat compartments combined with inflammatory, metabolic, and hormonal changes will unravel the role of visceral fat in the obese patient with COPD.

Obesity and Cluster Phenotypes in COPD

Historically, obesity is linked to the so-called blue bloater COPD phenotype (51, 52). In recent years, investigators have used innovative statistical methods to examine the hypothesis that subgroups of COPD sharing clinically relevant characteristics or phenotypes can be identified (53). In all these cluster analyses, nutritional status and overweight or obesity are identified as distinguishing characteristics. Burgel and

colleagues reported four so-called COPD phenotypes, of which two were overweight (54). One overweight phenotype was characterized by mild airflow limitation in the absence of chronic heart failure or depression, and the other overweight phenotype had moderate airflow limitation with high frequency of chronic heart failure and depression (54). These findings were confirmed in another study identifying a cluster of older, male, obese patients with COPD with moderate to severe airflow limitation and with high rates of diabetic and cardiovascular comorbidities (55). Cluster analysis using baseline data of the ECLIPSE cohort identified five COPD subgroups differing in outcomes, inflammatory biomarkers, and clinical parameters, suggesting that these clusters represent clinically and biologically different subtypes of COPD (56). The cluster with the highest BMI had the least emphysema, most comorbidities, and highest levels of systemic inflammatory markers. This cluster had the worst survival, the least progression of emphysema, and a low rate of exacerbations over a 3-year follow-up period (56). Vanfleteren and colleagues reported a cluster analysis, based on validated objective measurements of 13 comorbidities and systemic inflammatory markers; they identified five comorbidity clusters (Figure 2) (12). The so-called

metabolic or obese cluster included patients with COPD with less hyperinflation; a higher cardiovascular risk prediction score; a very high prevalence of diabetes, hypertension, dyslipidemia, and atherosclerosis; and slightly elevated levels of systemic inflammation (12). In a cohort of subjects hospitalized for the first time because of COPD exacerbations, Garcia-Aymerich and colleagues identified three COPD groups with different prognosis and clinical outcome (57). One group was characterized by milder airflow limitation, a high proportion of obesity, cardiovascular disorders, diabetes, and systemic inflammation. Particularly this group of patients with COPD had more admissions due to cardiovascular diseases during the 4-year follow-up period (57).

All these reported studies indicate that obesity may be relevant to identifying distinct COPD phenotypes, and more data are needed on biological associated factors related to this.

Conclusions

Obesity, metabolic syndrome, and visceral adiposity are highly prevalent conditions in patients with COPD and are associated with worse outcomes, and obesity seems relevant in distinct COPD phenotypes.

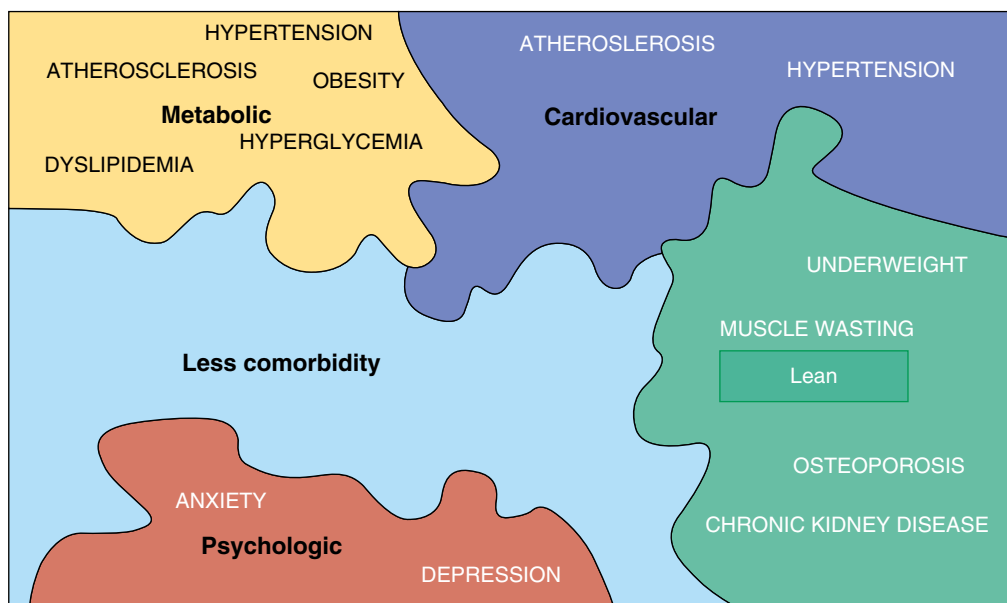


Figure 2. Clusters of comorbidities in chronic obstructive pulmonary disease.

Integrated research combining COPD and its multimorbidity disease network linked to underlying inflammatory, metabolic, and hormonal changes and lifestyle characteristics may unravel specific underlying biological mechanisms

directing future research to interventions to reduce the multimorbidity profiles of COPD subgroups. Further studies are needed to unravel the role of adipose hormones on the respiratory system and to systematically evaluate the effects of

insulin resistance, not only on metabolic alterations but also on the airways and skeletal muscle structure. ■

Author disclosures are available with the text of this article at www.atsjournals.org.

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